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22856 U.S. PTO  
10/797146  
03/17/04

March 11, 2004

**Mail Stop Patent Application**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent Application  
Appln. No.: To Be Assigned  
Filed: Herewith  
For: ***Compositions and Methods for the Treatment of Depression and Other Affective Disorders***  
Applicants: Timothy DINAN *et al.*  
Atty Docket: 19280.001

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.

Patent and Trademark Office (PTO):

1. Patent Application Transmittal (PTO/SB/05);
2. U.S. Patent Application entitled:

**COMPOSITIONS AND METHODS FOR THE TREATMENT OF  
DEPRESSION AND OTHER AFFECTIVE DISORDERS**

and naming as inventors:

**Timothy DINAN and Peter DALY;**

the application consisting of:

- a. a specification containing:
  - (i). 20 pages of a description prior to the claims;
  - (ii). 5 pages of claims (35 claims);
  - (iii). a one (1) page abstract; and
3. two (2) return postcards.

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ARNOLD & PORTER LLP

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This application claims the benefit of U.S. Provisional Application No. 60/453,786, filed March 11, 2003 and U.S. Provisional Application No. 60/459,073, filed March 31, 2003, in the United States Patent and Trademark Office. The disclosures of these applications are hereby incorporated by reference in their entirety.

Please stamp one of two attached postcards with the filing date of these documents and return it to our courier, and stamp the other prepaid postcard with the filing date and unofficial application number and return it as soon as possible.

Applicants request that the following fees be charged to Deposit Account No. 50-2387 referencing docket number 19280.001:

\$ 770.00 application filing fee  
\$ 442.00 additional claims fee

Applicants do not believe that any fees other than the above fees (\$1,212.00) are due in conjunction with this filing. However, should any additional fees be required, the Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 50-2387 referencing docket number 19280.001. A duplicate copy of this letter is enclosed.

Respectfully submitted,



David R. Marsh (Reg. No. 41,408)  
Milan M. Vinnola (Reg. No. 45,979)

Attachments

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 19280.001

First Inventor Timothy DINAN

Title Compositions and Methods for the Treatment of Depression and Other Affective Disorders

Express Mail Label No.

13281 U.S. PTO  
031104**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages 26]</span> (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies
4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) <span style="float: right;">[Total Sheets ]</span>	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration <span style="float: right;">[Total Pages ]</span>	10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
a. <input type="checkbox"/> Newly executed (original or copy)	11. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	13. <input type="checkbox"/> Preliminary Amendment
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
	17. <input type="checkbox"/> Other:

**ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. §3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_ /  
Prior application information:    Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number	28381	or <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)
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Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Milan M. Vinnola	Registration No. (Attorney/Agent)	45,979
Signature	Milan M. Vinnola		Date March 11, 2004